

Docket No. 241191US90CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Takeo NIWA

SERIAL NO: 10/670,354

GAU: 1775

FILED: September 26, 2003

EXAMINER:

FOR: CERAMIC SUBSTRATE AND SINTERED ALUMINUM NITRIDE

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>241191US90CONT</b>		SERIAL NO. <b>10/670,354</b>	
<div style="position: relative; height: 100px;"> <div style="position: absolute; top: 0; left: 0; width: 100%; height: 100%; border: 1px solid black; border-radius: 50%; text-align: center; color: black; font-weight: bold; line-height: 1;">             OIPE JCA              LIST OF REFERENCES CITED BY APPLICANT              DEC 31 2003              PATENT &amp; TRADEMARK OFFICE           </div> </div>				APPLICANT <b>Takeo NIWA</b>			
				FILING DATE <b>September 26, 2003</b>		GROUP <b>1775</b>	
<b>U.S. PATENT DOCUMENTS</b>							
INITIAL	AA	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,507,006	01/14/03	HIRAMATSU et al.			
	AB	6,465,763	10/15/02	ITO et al.			
	AC	6,475,606	11/05/02	NIWA			
	AD						
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	AI						
	AJ						
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	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES      NO		
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW	Pub. No. US 2002/0043527 A1 Appl. No. 09/915,418		Pub. Date: April 18, 2002 Filed: July 27, 2001      Inventor: ITO			
	AX	Pub. No. US 2003/0015521 A1 Appl. No. 10/217,029		Pub. Date: Jan. 23, 2003 Filed: Aug. 13, 2002      Inventor: ITO			
	AY	Pub. No. US 2003/0203225 A1 Appl. No. 10/442,967		Pub. Date: Oct. 30, 2003 Filed: May 22, 2003      Inventor: HIRAMATSU et al.			
	AZ						<input type="checkbox"/> Additional References sheet(s) attached
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



### LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Inventor/ Applicant</u>
PER CLIENT	09/471,759	12/23/99	SAITO et al.
PER CLIENT	09/462,067	01/05/01	FURUKAWA et al.
PER CLIENT	10/345,356	01/16/03	ITO
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PER CLIENT	09/880,742	06/13/01	SAITO et al.
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\*Present Application; listed for information  
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